Plastics Forming Enterprises LLC



Case Study Report C101
Surfactant Wash Water Chemistry Case Study





Plastics Consulting, Research, and Engineering

Surfactant Wash Water Chemistry Case Study

Overview

Plastics Forming Enterprises (PFE) conducted a case study to evaluate the efficacy of various industry-standard surfactants in wash chemistry, aiming to characterize performance variability. The study utilized both clean PET bottle flake, supplied by PFE, and dirty PET flake obtained from a local transfer station in Merrimack, NH.

The assessment of clean PET flake was designed to isolate the influence of residual wash chemistry, while the evaluation of dirty PET flake aimed to determine surfactant performance under real-world conditions. The primary performance metrics, identified based on in-field challenges encountered by plastics reclaimers, included water contamination, flake discoloration, and haze values. PFE hypothesized that these challenges could be attributed to the specific wash chemistries used or deviations from the recommended surfactant solution ratios.

Each surfactant was introduced at a predetermined concentration, as specified by the respective chemistry suppliers. The evaluation was conducted in accordance with a modified version of the PET-B-02 standard, as established by the Association of Plastics Recyclers (APR).

Conclusions

Utilizing the data generated from this case study, PFE systematically assessed the efficacy of various industry-standard wash chemistries on both clean and contaminated PET flakes. The findings indicate that there are favorable outcomes depending upon the material supplied conditions and the attributes such as, color impact, haze reduction, water filterability and clarity. These attributes were ranked separately for clean flake and dirty flake.

The results offer critical insights for plastics recycling reclaimers, emphasizing the significance of selecting optimal wash chemistries to enhance material quality and mitigate processing challenges. By adhering to the prescribed solution ratios and employing the most effective surfactant, recyclers can improve the overall quality of recycled PET, thereby increasing its suitability for downstream applications in end-use markets.

Given the inherent variability in feedstock composition across different recycling facilities, the impact of wash chemistry is expected to vary based not only on solution ratio but also on the specific processing technologies employed. Factors such as flake-to-solution friction, temperature, and caustic concentration further influence the overall effectiveness of the wash process. Consequently, each reclamation facility should be evaluated on an individual basis, as the findings of this study may not be universally applicable across all recycling operations. In the event this evaluation was performed for a specific recycling facility, values and rankings would be weighted based on the reclaimers goals.

Clean Flake Ranking

| Property | Test 1 | Test 2 | Test 3 | Test 4 | Test 5 |
|-----------------------|--------|--------|--------|--------|--------|
| Washed Flake b* | 3 | 2 | 1 | 5 | 4 |
| Bake Flake b* | 3 | 1 | 4 | 2 | 5 |
| Plaque b* | 3 | 1 | 5 | 4 | 2 |
| Plaque Haze | 3 | 5 | 4 | 2 | 1 |
| Settled Water Clarity | 3 | 4 | 1 | 5 | 2 |
| Shaken Water Clarity | 5 | 3 | 1 | 4 | 2 |
| Filter Weights | 3 | 4 | 2 | 1 | 5 |
| Water Filter Time | 3 | 4 | 2 | 1 | 5 |
| Total Score | 26 | 24 | 20 | 24 | 26 |

Lowest Score = *Best Result*

KEY:

Green = Best Result Orange = 2nd Best Result Yellow = 3rd Best Result Blue = 4th Best Result Red = Worst Result

The ranking scale of 1 to 5 is intended to offer a high-level interpretation of the data; however, it does not reflect the distribution within individual data categories. For a more precise understanding of the significance of these rankings, refer to the data tables within the report.

Additionally, the results are not weighted, as each recycling facility may prioritize different material properties based on its specific operational requirements.

Dirty Flake Ranking

| Property | Test 1 | Test 2 | Test 3 | Test 4 | Test 5 |
|-----------------------|--------|--------|--------|--------|--------|
| Washed Flake b* | 4 | 1 | 3 | 5 | 2 |
| Bake Flake b* | 1 | 2 | 3 | 4 | 5 |
| Plaque b* | 4 | 1 | 5 | 3 | 2 |
| Plaque Haze | 2 | 1 | 5 | 4 | 3 |
| Settled Water Clarity | 1 | 3 | 2 | 4 | 5 |
| Shaken Water Clarity | 1 | 4 | 3 | 2 | 5 |
| Filter Weights | 5 | 1 | 2 | 4 | 3 |
| Water Filter Time | 5 | 1 | 2 | 4 | 3 |
| Total Score | 23 | 14 | 25 | 30 | 28 |

Lowest Score = *Best Result*

KEY:

Green = Best Result Orange = 2nd Best Result Yellow = 3rd Best Result Blue = 4th Best Result Red = Worst Result

The ranking scale of 1 to 5 is intended to offer a high-level interpretation of the data; however, it does not reflect the distribution within individual data categories. For a more precise understanding of the significance of these rankings, refer to the data tables within the report.

Additionally, the results are not weighted, as each recycling facility may prioritize different material properties based on its specific operational requirements.

Project Partners







Scope of Work

Equipment

- Recycle System
 - Grinder with 3/8" Screen
 - Pilot Wash System
 - Kice Elutriator
 - Desiccant Dryer
 - Arburg Injection Machine
- Test Equipment
 - Water Filtration Analyzer
 - Color Spectrophotometer
 - Vacuum Oven
 - Convection Oven

Wash

• Washing at Specific Surfactant Ratios based on supplier reccomendations

Elutriation

• Separating light and heavy materials

Injection Molding • Injection molding plaques for end market results

Laboratory Testing

- Flake Colors
- Plaque Colors
- Water Filtration
- Flake Bake



Plastics Forming Enterprises, LLC

Plastics Consulting, Research, and Engineering

Clean Flake Table of Contents

| Incoming Clean Flake Material Preparation | 8 |
|--|----|
| Clean Flake PET Wash and Sink/Float Evaluation | 9 |
| Clean Flake Wash/Rinse Pictures | 10 |
| Clean Flake Settled Wash Water Colors | 12 |
| Clean Flake Shaken Wash Water Colors | 12 |
| Clean Flake PET Wash Water Evaluation | 13 |
| Clean Flake PET Wash Water Filtration Evaluation | 14 |
| Clean Flake Filter Pictures | 15 |
| Clean Flake Filtered and Settled Wash Water Colors | 17 |
| Clean Flake Filtered and Shaken Wash Water Colors | 17 |
| Clean Flake PET Elutriation | 18 |
| Clean Flake Heavies/Lights Pictures | 19 |
| Clean Flake 1st Heat Processed Flake Colors | 21 |
| Clean Flake Bake Evaluation | 22 |
| Clean Flake Bake Evaluation Colors | 24 |
| Clean Flake 2 nd Heat PET Injection | 25 |
| Clean Flake 2 nd Heat Injection Molded Plaques Pictures | 26 |
| Clean Flake 2 nd Heat Transmission Plaque Colors | 27 |

Page 7 of 49

Incoming Clean Flake Material Preparation

References:

PET-P-03

Test Summary:

Granulate incoming articles to the required size.

Procedure:

Articles are manually fed into a grinder with screen holes ranging from 9.5-12 mm in diameter.

| Variable | Incoming Article | Ground Article |
|-------------|------------------|----------------|
| Clean Flake | | |

Clean Flake PET Wash and Sink/Float Evaluation

References:

PET-P-04 - Modified

Test Summary:

Wash Process

PET Flake was washed at the intended surfactant to caustic ratios supplied by the manufacturer and heated to 85 ± 2 °C. The 4:1 ratio of water to flakes were mixed with an impellor for 15 minutes at least 546 rpm. After 15 minutes, the impeller was stopped, and the mixture was removed from the heat source and allowed to sit for five minutes. After five minutes, the wash water, floatables, and sinkables were retained.

Rinse

The sinking fraction of the washed PET flake is added to tap water at a 4:1 ratio of water to flake and heated to 45 ± 2 °C. The flake and water are mixed with an impeller for at least 546 rpm for five minutes. After five minutes, the mixture is removed from the heat and allowed to sit for five minutes. After five minutes, the rinse water, floatables, and sinkables are retained.

Sink/Float

The rinsed PET flake is added to tap water at 8:1 water to flake. The tank is agitated by hand or at 500 rpm for five minutes and then allowed to sit for five minutes. After five minutes, the floatables and sinkables are retained.

Data Table:

| Variable | Wash Temperature (°C) | Rinse Temperature (°C) | Starting Flake Weight (kg) | Total Floatables (grams) | Total Floatables (%) |
|----------|-----------------------------|------------------------------|----------------------------------|--------------------------------|----------------------------|
| Test 1 | 84 | 46 | 1.81 | 0.00 | 0.00% |
| Test 2 | 85 | 47 | 1.81 | 0.00 | 0.00% |
| Test 3 | 84 | 45 | 1.81 | 0.00 | 0.00% |
| Test 4 | 84 | 47 | 1.81 | 0.00 | 0.00% |
| Test 5 | 85 | 46 | 1.81 | 0.00 | 0.00% |

Clean Flake Wash/Rinse Pictures

| Vowiable | Settled | Waters | Shaken Waters | | |
|----------|---------|--------|---------------|-------|--|
| Variable | Wash | Rinse | Wash | Rinse | |
| Test 1 | | | | | |
| Test 2 | | | | | |
| Test 3 | | | | | |

Clean Flake Wash/Rinse Pictures Continued

| Y / | Settled | Waters | Shaken Waters | | |
|------------|---------|--------|---------------|-------|--|
| Variable | Wash | Rinse | Wash | Rinse | |
| Test 4 | | | | | |
| Test 5 | | | | | |

Clean Flake Settled Wash Water Colors

| Variable | L* Average | a* Average | b* Average | Haze Average | ΔE From Reference Control* |
|----------|------------|------------|------------|--------------|----------------------------------|
| Test 1 | 95.69 | -0.09 | 0.93 | 22.03 | 0.90 |
| Test 2 | 95.63 | -0.06 | 0.91 | 6.25 | 0.93 |
| Test 3 | 96.64 | -0.17 | 0.15 | 1.14 | 0.38 |
| Test 4 | 95.06 | -0.04 | 1.40 | 10.73 | 1.67 |
| Test 5 | 96.51 | -0.16 | 0.22 | 2.66 | 0.24 |

Clean Flake Shaken Wash Water Colors

| Variable | L* Average | a* Average | b* Average | Haze Average | ΔE From Reference Control* |
|----------|------------|------------|------------|--------------|----------------------------------|
| Test 1 | 94.26 | 0.02 | 1.15 | 87.20 | 0.67 |
| Test 2 | 92.62 | 0.09 | 2.21 | 24.75 | 2.06 |
| Test 3 | 92.90 | 0.02 | 1.14 | 26.89 | 1.13 |
| Test 4 | 92.86 | 0.07 | 2.15 | 24.54 | 1.86 |
| Test 5 | 91.25 | 0.12 | 1.58 | 41.09 | 2.82 |

^{*}The reference control is a standard PFE PET control wash water sample, which is either allowed to settle or shaken for comparison.

Clean Flake PET Wash Water Evaluation

References:

PET-S-01

Test Summary:

Visual evaluations of the wash water are conducted to determine the characteristics of the label substrate, inks, and adhesives utilized within the label. The water is examined for suspended ink or adhesive. If ink or adhesive is seen, the water is evaluated.

Procedure:

500 mL of water is transferred into a graduated cylinder, allowed to settle for 30 minutes, then ranked depending on the amount of settling of the inks and adhesive. After the setting evaluation, the wash water is passed through a 250-mesh screen using a Buchner funnel. The filtered water is ranked on its appearance. The wash water is then filtered again with a $10 \mu m$ filter paper using a Buchner funnel. The time it takes to filter the wash water is measured and compared to the time it takes for clean tap water to filter. The filtered water is ranked on its appearance.

Clean Flake PET Wash Water Filtration Evaluation

| Variable | Test 1 | Test 2 | Test 3 | Test 4 | Test 5 | | | |
|--|------------|--------|--------|--------|--------|--|--|--|
| 250-Mesh Evaluation | | | | | | | | |
| 250-Mesh Starting Weight (g) | 2.9754 | 2.9679 | 2.9781 | 2.9987 | 3.0238 | | | |
| 250-Mesh Ending Weight (g) | 2.9948 | 3.0141 | 3.0078 | 3.0448 | 3.1969 | | | |
| 250-Mesh Collected Weight (g) | 0.0194 | 0.0462 | 0.0297 | 0.0461 | 0.1731 | | | |
| 250-Mesh Collection (Y/N) | Y | Y | Y | Y | Y | | | |
| 250-Mesh Appearance Ranking | A | A | A | A | A | | | |
| 10μm | Evaluation | on | | | | | | |
| 10μm Filter Starting Weight (g) | 0.8173 | 0.8020 | 0.8032 | 0.8099 | 0.8055 | | | |
| 10μm Filter Ending Weight (g) | 0.8493 | 0.8817 | 0.8531 | 0.8751 | 0.8645 | | | |
| 10μm Filter Collected Weight (g) | 0.0320 | 0.0797 | 0.0499 | 0.0652 | 0.0590 | | | |
| 10µm Filter Collection (Y/N) | Y | Y | Y | Y | Y | | | |
| 10µm Filter Appearance Ranking | A | В | В | В | В | | | |
| 10µm Filter Time (s) | 3:19 | 2:50 | 3:21 | 6:12 | 1:30 | | | |
| Tap Water Filter Time (s) 00:27 | | | | | | | | |
| 10μm Filter Time / Tap Water Filter Time | 7.37 | 6.30 | 7.44 | 13.78 | 3.33 | | | |

Ranking System for Filtering Evaluation:

250-Mesh Evaluation

- a. Appearance after filtering is similar to the starting material.
- b. Some level of colored material or adhesive was filtered out.
- c. Most colored material was filtered out.

10µm Filter Evaluation

- a. Appearance after filtering is similar to the starting material.
- b. Some level of colored material was filtered out.
- c. Most colored material was filtered out, but water is still hazy.
- d. Color and haze are substantially absent in filtered water.

Clean Flake Filter Pictures

| ¥7 | 250 Mach Saraan 10um Eilten Banan | | Settled | Settled Water | | ı Water |
|----------|-----------------------------------|-------------------|---------|---------------|------|----------|
| Variable | 250-Mesh Screen | 10μm Filter Paper | Wash | Filtered | Wash | Filtered |
| Test 1 | | | | | | |
| Test 2 | | | P | | | |
| Test 3 | | | | | | |

Clean Flake Filter Pictures Continued

| Variable | 250 Mach Carean 10um Filter Dance | | Settled Water | | Shaken Water | |
|----------|-----------------------------------|-------------------|---------------|----------|--------------|----------|
| Variable | 250-Mesh Screen | 10μm Filter Paper | Wash | Filtered | Wash | Filtered |
| Test 4 | | | | | | |
| Test 5 | | | | | | |

Clean Flake Filtered and Settled Wash Water Colors

| Variable | L* Average | a* Average | b* Average | Haze Average | ΔE From Reference Control* |
|----------|------------|------------|------------|--------------|----------------------------------|
| Test 1 | 94.37 | -0.03 | 0.59 | 10.44 | 0.75 |
| Test 2 | 95.69 | -0.12 | 1.09 | 6.56 | 0.95 |
| Test 3 | 96.60 | -0.19 | 0.24 | 1.78 | 1.53 |
| Test 4 | 95.49 | -0.09 | 1.44 | 9.56 | 1.16 |
| Test 5 | 96.60 | -0.19 | 0.24 | 1.88 | 1.53 |

Clean Flake Filtered and Shaken Wash Water Colors

| Variable | L* Average | a* Average | b* Average | Haze Average | ΔE From Reference Control* |
|----------|------------|------------|------------|--------------|----------------------------------|
| Test 1 | 94.32 | 0.00 | 0.87 | 85.01 | 0.28 |
| Test 2 | 94.89 | -0.05 | 1.74 | 11.61 | 1.28 |
| Test 3 | 95.83 | -0.14 | 0.54 | 7.17 | 1.51 |
| Test 4 | 95.13 | -0.07 | 1.54 | 11.83 | 1.24 |
| Test 5 | 95.65 | -0.12 | 0.64 | 10.59 | 1.32 |

^{*}The reference control is a standard PFE PET control wash water sample after filtration, which is either allowed to settle or shaken for comparison.

Clean Flake PET Elutriation

References:

PET-P-05 - Modified

Test Summary:

Remove lights (fines, labels, layers) from washed flake before extrusion.

Procedure:

The elutriator is calibrated based on historical PET control flake data. Once the elutriator is calibrated, heavies' weight, lights' weight, and the percentage of lights are recorded for each test. Heavies are then prepared for the next steps of processing.

Data Table:

| Variable | Heavies Weight (kg) | Lights Weight (grams) | Lights Removed (%) |
|----------|---------------------|-----------------------|--------------------|
| Test 1 | 1.55 | 1.02 | 0.07% |
| Test 2 | 1.67 | 0.57 | 0.03% |
| Test 3 | 1.66 | 0.58 | 0.03% |
| Test 4 | 1.68 | 0.66 | 0.04% |
| Test 5 | 1.65 | 0.42 | 0.03% |

Clean Flake Heavies/Lights Pictures

| Variable | Heavies | Lights |
|----------|---------|--------|
| Test 1 | | |
| Test 2 | | |
| Test 3 | | |

Clean Flake Heavies/Lights Pictures Continued

| Variable | Heavies | Lights |
|----------|---------|--------|
| Test 4 | | |
| Test 5 | | |

Clean Flake 1st Heat Processed Flake Colors

References:

ASTM D6290

Within PFE's scope of ISO 17025 accreditation, certificate number AT-3210.

Test Summary:

Measure the degree of yellowness under daylight illumination of transparent, translucent, or opaque plastics.

Equipment:

Konica Minolta 36dG

Procedure:

The color analyzing equipment is set up on reflectance and calibrated using pure white and black standards. The sample cell is filled to the brim with randomly selected flakes. The cell is inserted into the machine, and the equipment runs the color test. The test indicates L^* , a^* , and b^* values. This test is completed in a conditioned laboratory at a temperature of $23\pm2^{\circ}\text{C}$ and a relative humidity of $50\pm10^{\circ}$.

Data Table:

| Variable | L* Values | a* Values | b* Values | L* Average | a* Average | b* Average |
|----------|--------------|--------------|--------------|---------------|---------------|---------------|
| | 69.04 | -0.12 | -0.18 | | | |
| | 64.09 | -0.16 | -0.22 | | | |
| Test 1 | 68.92 | -0.12 | -0.14 | 66.97 | -0.12 | -0.10 |
| | 67.60 | -0.11 | 0.10 | | | |
| | 65.21 | -0.08 | -0.04 | | | |
| | 64.23 | -0.15 | -0.19 | | | |
| | 68.65 | -0.20 | 0.16 | | | |
| Test 2 | 65.97 | -0.14 | -0.46 | 66.79 | -0.16 | -0.20 |
| | 69.13 | -0.16 | -0.06 | | | |
| | 65.99 | -0.15 | -0.43 | | | |
| | 68.60 | -0.10 | -0.50 | | | -0.31 |
| | 64.55 | -0.15 | -0.36 | | -0.11 | |
| Test 3 | 68.70 | -0.11 | -0.49 | 67.2 | | |
| | 68.04 | -0.07 | 0.14 | | | |
| | 66.11 | -0.12 | -0.32 | | | |
| | 65.54 | -0.13 | 0.17 | | | |
| | 68.73 | -0.14 | 0.38 | | | |
| Test 4 | 65.10 | -0.11 | 0.26 | 66.69 | -0.13 | 0.29 |
| | 68.69 | -0.14 | 0.44 | | | |
| | 65.41 | -0.14 | 0.20 | | | |
| | 68.49 | -0.10 | 0.27 | | | |
| | 66.76 | -0.12 | -0.22 | | | 0.01 |
| Test 5 | 68.40 | -0.09 | 0.25 | 67.24 | 67.24 -0.11 | |
| | 68.70 | -0.13 | 0.19 | | | |
| | 63.86 | -0.11 | -0.42 | | | |

Clean Flake Bake Evaluation

References:

PET-S-10

Test Summary:

Determine the color changes of the flake after baking.

Procedure:

100 grams of flake is placed into a convection oven heated at 220°C for 60 minutes.

| Variable | Before Baking Flake | After Baking Flake |
|----------|---------------------|--------------------|
| Test 1 | | |
| Test 2 | | |
| Test 3 | | |

Clean Flake Bake Evaluation Continued

| Variable | Before Baking Flake | After Baking Flake |
|----------|---------------------|--------------------|
| Test 4 | | |
| Test 5 | | |

Clean Flake Bake Evaluation Colors

References:

ASTM D6290

Within PFE's scope of ISO 17025 accreditation, certificate number AT-3210.

Test Summary:

Measure the degree of yellowness under daylight illumination of transparent, translucent, or opaque plastics.

Equipment:

Konica Minolta 36dG

Procedure:

The color analyzing equipment is set up on reflectance and calibrated using pure white and black standards. The sample cell is filled to the brim with randomly selected flakes. The cell is inserted into the machine and the equipment runs the color test. The test indicates L^* , a^* , b^* values. This test is completed in a conditioned laboratory at a temperature of $23\pm2^{\circ}\text{C}$ and a relative humidity of $50\pm10^{\circ}$.

Data Table:

| Variable | L* Values | a* Values | b* Values | L* Average | a* Average | b* Average |
|----------|--------------|--------------|--------------|---------------|---------------|---------------|
| | 74.28 | -0.36 | 1.97 | | | |
| | 71.59 | -0.43 | 1.00 | | | |
| Test 1 | 72.83 | -0.41 | 0.93 | 73.09 | -0.38 | 1.36 |
| | 73.34 | -0.30 | 1.65 | | | |
| | 73.41 | -0.41 | 1.23 | | | |
| | 75.23 | -0.62 | 0.66 | | | |
| | 72.33 | -0.60 | 1.17 | | | |
| Test 2 | 75.41 | -0.61 | 0.56 | 75.53 | -0.65 | 0.91 |
| | 73.73 | -0.79 | 1.35 | | | |
| | 70.93 | -0.65 | 0.79 | | | |
| | 74.32 | -0.69 | 2.66 | | -0.70 | 1.53 |
| | 74.41 | -0.83 | 0.90 | | | |
| Test 3 | 73.64 | -0.67 | 1.58 | 73.40 | | |
| | 71.26 | -0.60 | 1.07 | | | |
| | 73.38 | -0.70 | 1.42 | | | |
| | 74.45 | -0.49 | 1.11 | | | |
| | 72.10 | -0.42 | 1.19 | | -0.45 | |
| Test 4 | 73.94 | -0.47 | 1.02 | 72.70 | | 1.19 |
| | 71.73 | -0.42 | 1.19 | | | |
| | 71.28 | -0.44 | 1.45 | | | |
| | 73.66 | -0.88 | 2.72 | | | |
| | 72.18 | -0.90 | 1.13 | | | |
| Test 5 | 73.73 | -0.90 | 2.82 | 73.31 | -0.87 | 2.11 |
| | 75.00 | -0.85 | 2.36 | | | |
| | 71.99 | -0.84 | 1.50 | | | |

Clean Flake 2nd Heat PET Injection

References:

PET-P-08

Test Summary:

Injection mold 3mm plaques to test for color and haze values.

Procedure:

Injection material is prepped in a dryer until the moisture content is below 50 ppm. PET is injection molded at a target melt temperature of 275°C. The injection unit is purged between each test innovation. Melt, room, and mold temperatures are recorded for each variable.

Data Table:

| Variable | Moisture Content (ppm) | Melt Temperature (°C) | Mold Temperature (°C) |
|----------|------------------------|-----------------------|-----------------------|
| Test 1 | 15 | 276 | 19 |
| Test 2 | 12 | 276 | 19 |
| Test 3 | 27 | 276 | 19 |
| Test 4 | 5 | 277 | 20 |
| Test 5 | 3 | 277 | 19 |

Operating Conditions:

| Zone 1 Temperature | Zone 2 Temperature | Zone 3 Temperature | Nozzle Temperature | |
|--------------------|--------------------|--------------------|--------------------|--|
| (°C) | (°C) | (°C) | (°C) | |
| 265 | 266 | 266 | 275 | |

Clean Flake 2nd Heat Injection Molded Plaques Pictures



Clean Flake 2nd Heat Transmission Plaque Colors

References:

PET-P-08

PET-S-09

ASTM D1003

Within PFE's scope of ISO 17025 accreditation; certificate number AT-3210.

Test Summary:

Measure the color values L*, a*, b*, and haze of a 3mm injection-molded plaque.

Equipment:

Konica Minolta 36dG

Procedure:

Pellets are dried to a moisture level below 50 ppm and injection molded into 3mm plaques. The color analyzing equipment is set up on transmittance and calibrated using pure white and black standards. The molded plaque is inserted into the testing location, and the equipment runs the color test.

Data Table:

| Variable | L* Values | a* Values | b* Values | L* Average | a* Average | b* Average | Haze | Haze Average |
|----------|--------------|--------------|--------------|---------------|---------------|---------------|------|-----------------|
| | 92.64 | -0.50 | 4.40 | | | | 3.73 | |
| | 92.85 | -0.38 | 3.57 | | | | 2.66 | |
| Test 1 | 92.83 | -0.37 | 3.58 | 92.77 | -0.42 | 3.92 | 3.14 | 3.23 |
| | 92.84 | -0.36 | 3.54 | | | | 3.11 | |
| | 92.67 | -0.51 | 4.52 | | | | 3.51 | |
| | 92.57 | -0.37 | 3.38 | | | | 3.58 | |
| | 90.78 | -0.86 | 3.23 | 92.18 | | | 4.59 | |
| Test 2 | 92.80 | -0.39 | 3.69 | | -0.51 | 3.54 | 3.21 | 3.70 |
| | 91.98 | -0.52 | 3.58 | | | | 3.66 | |
| | 92.77 | -0.41 | 3.81 | | | | 3.44 | |
| | 92.70 | -0.48 | 4.25 | 92.69 | 92.69 -0.44 | -0.44 4.07 | 3.40 | |
| | 92.56 | -0.44 | 4.14 | | | | 4.70 | |
| Test 3 | 92.73 | -0.46 | 4.12 | | | | 3.49 | 3.60 |
| | 92.74 | -0.40 | 3.90 | | | | 3.11 | |
| | 92.74 | -0.42 | 3.93 | | | | 3.29 | |
| | 92.92 | -0.47 | 3.95 | | | 3.95 | 2.85 | |
| | 92.89 | -0.45 | 3.92 | | | | 3.36 | |
| Test 4 | 92.89 | -0.48 | 4.06 | 92.91 | -0.46 | | 2.71 | 3.07 |
| | 92.88 | -0.44 | 3.92 | | | | 3.22 | |
| | 92.95 | -0.48 | 3.91 | | | | 3.21 | |
| | 92.84 | -0.45 | 3.94 | | | | 2.96 | |
| | 92.84 | -0.40 | 3.79 |] | | | 3.13 | |
| Test 5 | 92.88 | -0.39 | 3.70 | 92.85 | -0.41 | 3.78 | 2.95 | 3.05 |
| | 92.83 | -0.40 | 3.77 | | | | 3.02 | |
| | 92.85 | -0.39 | 3.71 | | | | 3.18 | |



Plastics Forming Enterprises, LLC

Plastics Consulting, Research and Engineering

Dirty Flake Contents

| <u>Incoming Dirty Flake Material Preparation</u> | 29 |
|--|----|
| Dirty Flake PET Wash and Sink/Float Evaluation | 30 |
| Dirty Flake Wash/Rinse Pictures | 31 |
| Dirty Flake Settled Wash Water Colors | 33 |
| Dirty Flake Shaken Wash Water Colors | 33 |
| Dirty Flake PET Wash Water Evaluation | 34 |
| Dirty Flake PET Wash Water Filtration Evaluation | 35 |
| Dirty Flake Filter Pictures | 36 |
| Dirty Flake Filtered and Settled Wash Water Colors | 38 |
| Dirty Flake Filtered and Shaken Wash Water Colors | |
| Dirty Flake PET Elutriation | 39 |
| Dirty Flake Heavies/Lights Pictures | 40 |
| Dirty Flake 1st Heat Processed Flake Colors | 42 |
| Dirty Flake Bake Evaluation. | 43 |
| Dirty Flake Bake Evaluation Colors | 45 |
| Dirty Flake 2 nd Heat PET Injection | 46 |
| Dirty Flake 2 nd Heat Injection Molded Plaques Pictures | 47 |
| Dirty Flake 2 nd Heat Transmission Plaque Colors | 48 |

Incoming Dirty Flake Material Preparation

References:

PET-P-03

Test Summary:

Granulate incoming articles to the required size.

Procedure:

Articles are manually fed into a grinder with screen holes ranging from 9.5-12 mm in diameter.

| Variable | Incoming Article | Ground Article |
|----------------|------------------|----------------|
| Dirty Flake | | |

Dirty Flake PET Wash and Sink/Float Evaluation

References:

PET-P-04 - Modified

Test Summary:

Wash Process

PET Flake was washed at the intended surfactant to caustic ratios at a supplied by the manufacturer and heated to 85 ± 2 °C. The 4:1 ratio of water to flakes were mixed with an impellor for 15 minutes at least 546 rpm. After 15 minutes, the impeller was stopped, and the mixture was removed from the heat source and allowed to sit for five minutes. After five minutes, the wash water, floatables, and sinkables were retained.

Rinse

The sinking fraction of the washed PET flake is added to tap water at a 4:1 ratio of water to flake and heated to 45±2°C. The flake and water are mixed with an impeller for at least 546 rpm for five minutes. After five minutes, the mixture is removed from the heat and allowed to sit for five minutes. After five minutes, the rinse water, floatables, and sinkables are retained.

Sink/Float

The rinsed PET flake is added to tap water at 8:1 water to flake. The tank is agitated by hand or at 500 rpm for five minutes and then allowed to sit for five minutes. After five minutes, the floatables and sinkables are retained.

Data Table:

| Variable | Wash Temperature (°C) | Rinse Temperature (°C) | Starting Flake Weight (kg) | Total Floatables (grams) | Total Floatables (%) |
|----------|-----------------------------|------------------------------|----------------------------------|--------------------------------|----------------------------|
| Test 1 | 83 | 45 | 1.81 | 61 | 3.30% |
| Test 2 | 84 | 45 | 1.81 | 55 | 3.03% |
| Test 3 | 85 | 46 | 1.81 | 62 | 3.35% |
| Test 4 | 86 | 43 | 1.81 | 53 | 2.89% |
| Test 5 | 85 | 47 | 1.81 | 63 | 3.40% |

Dirty Flake Wash/Rinse Pictures

| Variable | Settled | Waters | Shaken Waters | | |
|-----------|---------|--------|---------------|-------|--|
| v ariable | Wash | Rinse | Wash | Rinse | |
| Test 1 | | | | | |
| Test 2 | | | | | |
| Test 3 | | | | | |

Dirty Flake Wash/Rinse Pictures Continued

| Voviable | Settled | Waters | Shaken Waters | | |
|----------|---------|--------|---------------|-------|--|
| Variable | Wash | Rinse | Wash | Rinse | |
| Test 4 | | | | | |
| Test 5 | | | | | |

Dirty Flake Settled Wash Water Colors

| Variable | L* Average | a* Average | b* Average | Haze Average | ΔE From Reference Control* |
|----------|------------|------------|------------|--------------|----------------------------------|
| Test 1 | 92.20 | 2.72 | 9.51 | 3.25 | 10.52 |
| Test 2 | 78.52 | 2.65 | 11.57 | 48.27 | 21.24 |
| Test 3 | 76.08 | 2.27 | 10.7 | 54.72 | 22.86 |
| Test 4 | 83.20 | 3.68 | 11.74 | 28.52 | 17.82 |
| Test 5 | 80.00 | 3.63 | 12.61 | 38.02 | 20.78 |

Dirty Flake Shaken Wash Water Colors

| Variable | L* Average | a* Average | b* Average | Haze Average | ΔE From Reference Control* |
|----------|------------|------------|------------|--------------|----------------------------------|
| Test 1 | 75.87 | 0.64 | 11.13 | 61.81 | 20.88 |
| Test 2 | 58.17 | 1.02 | 12.95 | 83.62 | 37.81 |
| Test 3 | 60.75 | 1.46 | 12.19 | 79.37 | 35.14 |
| Test 4 | 63.96 | 1.77 | 13.00 | 72.67 | 32.45 |
| Test 5 | 47.29 | 1.73 | 14.06 | 87.20 | 48.54 |

^{*}The reference control is a standard PFE PET control wash water sample, which is either allowed to settle or shaken for comparison.

Dirty Flake PET Wash Water Evaluation

References:

PET-S-01

Test Summary:

Visual evaluations of the wash water are conducted to determine the characteristics of the label substrate, inks, and adhesives utilized within the label. The water is examined for suspended ink or adhesive. If ink or adhesive is seen, the water is evaluated.

Procedure:

500mL of water is transferred into a graduated cylinder, allowed to settle for 30 minutes, then ranked depending on the amount of settling of the inks and adhesive. After the setting evaluation, the wash water is passed through a 250-mesh screen using a Buchner funnel. The filtered water is ranked on its appearance. The wash water is then filtered again with a 10µm filter paper using a Buchner funnel. The time it takes to filter the wash water is measured and compared to the time it takes for clean tap water to filter. The filtered water is ranked on its appearance.

Dirty Flake PET Wash Water Filtration Evaluation

| Variable | Test 1 | Test 2 | Test 3 | Test 4 | Test 5 |
|--|--------------------------|--------|--------|--------|--------|
| 250-Mes | sh Evalua | tion | | | |
| 250-Mesh Starting Weight (g) | 2.9316 | 2.9660 | 2.9961 | 2.9945 | 3.0353 |
| 250-Mesh Ending Weight (g) | 3.0643 | 3.0548 | 3.1108 | 3.1039 | 3.2847 |
| 250-Mesh Collected Weight (g) | 0.1327 | 0.0888 | 0.1147 | 0.1094 | 0.2494 |
| 250-Mesh Collection (Y/N) | Y | Y | Y | Y | Y |
| 250-Mesh Appearance Ranking | В | A | A | В | В |
| 10μm | Evaluation | on | | | |
| 10µm Filter Starting Weight (g) | 0.7828 | 1.5845 | 0.7847 | 0.7792 | 0.7880 |
| 10μm Filter Ending Weight (g) | 0.9012 | 1.8936 | 0.9398 | 0.9173 | 0.9361 |
| 10µm Filter Collected Weight (g) | 0.1184 | 0.3091 | 0.1551 | 0.1381 | 0.1481 |
| 10μm Filter Collection (Y/N) | Y | Y | Y | Y | Y |
| 10µm Filter Appearance Ranking | С | С | С | С | С |
| 10μm Filter Time (s) | 04:01 | 21:11 | 06:45 | 04:40 | 05:19 |
| Tap Water Filter Time (s) | er Filter Time (s) 00:27 | | | | |
| 10µm Filter Time / Tap Water Filter Time | 8.93 | 47.07 | 15.00 | 10.37 | 11.81 |

Ranking System for Filtering Evaluation:

250-Mesh Evaluation

- a. Appearance after filtering is similar to the starting material.
- b. Some level of colored material or adhesive was filtered out.
- c. Most colored material was filtered out.

10µm Filter Evaluation

- a. Appearance after filtering is similar to the starting material.
- b. Some level of colored material was filtered out.
- c. Most colored material was filtered out, but water is still hazy.
- d. Color and haze are substantially absent in filtered water.

Dirty Flake Filter Pictures

| V / | ble 250-Mesh Screen 10µm Filter Paper | | Settled Water | | Shaken Water | | |
|------------|---------------------------------------|-------------------|---------------|----------|--------------|----------|--|
| Variable | 250-Mesh Screen | Toum Fitter Paper | Wash | Filtered | Wash | Filtered | |
| Test 1 | | | | | | | |
| Test 2 | | | | | | | |
| Test 3 | | | | | | | |

Dirty Flake Filter Pictures Continued

| Variable | 250 Mach Carean | 10 Eilter Danar | Settled | l Water | Shaker | ı Water |
|----------|-----------------|-------------------|---------|----------|--------|----------|
| Variable | 250-Mesh Screen | 10μm Filter Paper | Wash | Filtered | Wash | Filtered |
| Test 4 | | | | | | |
| Test 5 | | | | | | |

Dirty Flake Filtered and Settled Wash Water Colors

| Variable | L* Average | a* Average | b* Average | Haze Average | ΔE From Reference Control* |
|----------|------------|------------|------------|--------------|----------------------------------|
| Test 1 | 91.51 | 2.32 | 7.28 | 11.09 | 8.16 |
| Test 2 | 89.30 | 3.09 | 7.96 | 16.23 | 10.08 |
| Test 3 | 91.34 | 4.00 | 7.72 | 5.58 | 9.23 |
| Test 4 | 89.42 | 4.39 | 10.08 | 12.56 | 12.12 |
| Test 5 | 88.11 | 4.29 | 10.89 | 15.85 | 13.38 |

Dirty Flake Filtered and Shaken Wash Water Colors

| Variable | L* Average | a* Average | b* Average | Haze Average | ΔE From Reference Control* |
|----------|------------|------------|------------|--------------|----------------------------------|
| Test 1 | 91.04 | 2.14 | 8.55 | 8.71 | 8.88 |
| Test 2 | 86.26 | 2.79 | 7.81 | 28.19 | 11.19 |
| Test 3 | 90.04 | 3.51 | 7.41 | 9.69 | 8.80 |
| Test 4 | 87.79 | 3.87 | 9.62 | 16.18 | 11.81 |
| Test 5 | 82.85 | 3.78 | 11.45 | 34.32 | 16.25 |

^{*}The reference control is a standard PFE PET control wash water sample after filtration, which is either allowed to settle or shaken for comparison.

Dirty Flake PET Elutriation

References:

PET-P-05

Test Summary:

Remove lights (fines, labels, layers) from washed flake before extrusion.

Procedure:

The elutriator is calibrated based on historical PET control flake data. Once the elutriator is calibrated, heavies' weight, lights' weight, and the percentage of lights are recorded for each test. Heavies are then prepared for the next steps of processing.

| Variable | Heavies Weight (kg) | Lights Weight (grams) | Lights Removed (%) |
|----------|---------------------|-----------------------|--------------------|
| Test 1 | 1.48 | 34.12 | 2.25% |
| Test 2 | 1.48 | 30.80 | 2.04% |
| Test 3 | 1.47 | 33.85 | 2.25% |
| Test 4 | 1.45 | 32.01 | 2.17% |
| Test 5 | 1.46 | 36.87 | 2.46% |

Dirty Flake Heavies/Lights Pictures

| Variable | Heavies | Lights |
|----------|---------|--------|
| Test 1 | | |
| Test 2 | | |
| Test 3 | | |

Dirty Flake Heavies/Lights Pictures Continued

| Variable | Heavies | Lights |
|----------|---------|--------|
| Test 4 | | |
| Test 5 | | |

Dirty Flake 1st Heat Processed Flake Colors

References:

ASTM D6290

Within PFE's scope of ISO 17025 accreditation, certificate number AT-3210.

Test Summary:

Measure the degree of yellowness under daylight illumination of transparent, translucent, or opaque plastics.

Equipment:

Konica Minolta 36dG

Procedure:

The color analyzing equipment is set up on reflectance and calibrated using pure white and black standards. The sample cell is filled to the brim with randomly selected flakes. The cell is inserted into the machine, and the equipment runs the color test. The test indicates L^* , a^* , and b^* values. This test is completed in a conditioned laboratory at a temperature of $23\pm2^{\circ}C$ and a relative humidity of $50\pm10\%$.

| Variable | L* Values | a* Values | b* Values | L* Average | a* Average | b* Average |
|----------|--------------|--------------|--------------|---------------|---------------|---------------|
| | 66.46 | -0.70 | 0.57 | | | |
| | 64.91 | -0.73 | 1.02 | | | |
| Test 1 | 63.57 | -0.79 | 1.06 | 65.01 | -0.78 | 0.79 |
| | 66.40 | -0.84 | 0.30 | | | |
| | 63.70 | -0.85 | 1.02 | | | |
| | 68.15 | -0.38 | 0.02 | | | |
| | 67.01 | -0.26 | 0.15 | | | |
| Test 2 | 67.22 | -0.41 | -0.22 | 67.83 | -0.34 | -0.08 |
| | 67.91 | -0.28 | -0.01 | | | |
| | 68.85 | -0.37 | -0.36 | | | |
| | 65.50 | -0.65 | 0.51 | 66.16 | | 0.54 |
| | 64.44 | -0.52 | 0.54 | | -0.58 | |
| Test 3 | 66.79 | -0.37 | 0.55 | | | |
| | 66.18 | -0.76 | 0.48 | | | |
| | 67.91 | -0.58 | 0.62 | | | |
| | 67.25 | -1.16 | 0.62 | | | |
| | 62.19 | -1.16 | 1.22 | | | |
| Test 4 | 66.92 | -1.15 | 1.21 | 65.34 | -1.19 | 0.98 |
| | 65.31 | -1.34 | 1.13 | | | |
| | 65.04 | -1.14 | 0.70 | | | |
| | 66.92 | -0.41 | 0.54 | | | |
| | 67.53 | -0.34 | 0.47 | | | |
| Test 5 | 66.06 | -0.33 | 0.67 | 67.45 | -0.37 | 0.51 |
| | 69.66 | -0.26 | 0.40 | | | |
| | 67.08 | -0.49 | 0.45 | | | |

Dirty Flake Bake Evaluation

References:

PET-S-10

Test Summary:

Determine the color changes of the flake after baking.

Procedure:

One hundred grams of flake is placed into a convection oven heated at 220°C for 60 minutes.

| Variable | Before Baking Flake | After Baking Flake |
|----------|---------------------|--------------------|
| Test 1 | | |
| Test 2 | | |
| Test 3 | | |

Dirty Flake Evaluation Continued

| Variable | Before Baking Flake | After Baking Flake |
|----------|---------------------|--------------------|
| Test 4 | | |
| Test 5 | | |

Dirty Flake Bake Evaluation Colors

References:

ASTM D6290

Within PFE's scope of ISO 17025 accreditation, certificate number AT-3210.

Test Summary:

Measure the degree of yellowness under daylight illumination of transparent, translucent, or opaque plastics.

Equipment:

Konica Minolta 36dG

Procedure:

The color analyzing equipment is set up on reflectance and calibrated using pure white and black standards. The sample cell is filled to the brim with randomly selected flakes. The cell is inserted into the machine, and the equipment runs the color test. The test indicates L^* , a^* , and b^* values. This test is completed in a conditioned laboratory at a temperature of $23\pm2^{\circ}C$ and a relative humidity of $50\pm10\%$.

| Variable | L* Values | a* Values | b* Values | L* Average | a* Average | b* Average | | |
|----------|--------------|--------------|--------------|---------------|---------------|---------------|---|--|
| | 68.56 | -0.58 | 4.31 | | | | | |
| | 69.18 | -0.57 | 2.88 | | | | | |
| Test 1 | 69.62 | -0.64 | 1.88 | 70.29 | -0.62 | 3.05 | | |
| | 72.17 | -0.71 | 2.81 | | | | | |
| | 71.93 | -0.59 | 3.37 | | | | | |
| | 70.02 | -0.28 | 3.24 | | | | | |
| | 72.57 | -0.36 | 4.26 | | | | | |
| Test 2 | 70.72 | -0.43 | 2.70 | 71.89 | -0.36 | 3.58 | | |
| | 73.4 | -0.32 | 3.65 | | | | i | |
| | 72.74 | -0.39 | 4.03 | | | | | |
| | 68.54 | -0.33 | 4.18 | 69.90 | -0.28 | 4.81 | | |
| | 72.15 | -0.18 | 5.55 | | | | | |
| Test 3 | 68.01 | -0.33 | 4.63 | | | | | |
| | 71.93 | -0.30 | 4.63 | | | | | |
| | 68.89 | -0.25 | 5.06 | | | | | |
| | 71.04 | -0.36 | 5.49 | | | | | |
| | 69.97 | -0.58 | 4.23 | | | | | |
| Test 4 | 67.03 | -0.54 | 4.59 | 69.80 | -0.49 | 4.94 | | |
| | 70.49 | -0.59 | 5.27 | | | | | |
| | 70.49 | -0.39 | 5.14 | | | | | |
| | 71.71 | -0.16 | 5.97 | | | | | |
| | 69.21 | -0.19 | 5.41 | | | | | |
| Test 5 | 69.4 | -0.34 | 4.44 | 70.88 | -0.21 | 4.98 | | |
| | 71.65 | -0.11 | 4.93 | | | | | |
| | 72.43 | -0.24 | 4.17 | | | | | |

Dirty Flake 2nd Heat PET Injection

References:

PET-P-08

Test Summary:

Injection mold 3mm plaques to test for color and haze values.

Procedure:

Injection material is prepped in a dryer until the moisture content is below 50 ppm. PET is injection molded at a target melt temperature of 275°C. The injection unit is purged between each test innovation. Melt, room, and mold temperatures are recorded for each variable.

Data Table:

| Variable | Moisture Content (ppm) | Melt Temperature (°C) | Mold Temperature (°C) |
|----------|------------------------|-----------------------|-----------------------|
| Test 1 | 8 | 274 | 18 |
| Test 2 | 14 | 275 | 18 |
| Test 3 | 9 | 275 | 18 |
| Test 4 | 11 | 274 | 18 |
| Test 5 | 8 | 274 | 18 |

Operating Conditions:

| Zone 1 Temperature | Zone 2 Temperature | Zone 3 Temperature | Nozzle Temperature |
|--------------------|--------------------|--------------------|--------------------|
| (°C) | (°C) | (°C) | (°C) |
| 265 | 266 | 266 | 275 |

Dirty Flake 2nd Heat Injection Molded Plaques Pictures



Dirty Flake 2nd Heat Transmission Plaque Colors

References:

PET-P-08

PET-S-09

ASTM D1003

Within PFE's scope of ISO 17025 accreditation; certificate number AT-3210.

Test Summary:

Measure the color values L*, a*, b*, and haze of a 3mm injection-molded plaque.

Equipment:

Konica Minolta 36dG

Procedure:

Pellets are dried to a moisture level below 50 ppm and injection molded into 3mm plaques. The color analyzing equipment is set up on transmittance and calibrated using pure white and black standards. The molded plaque is inserted into the testing location, and the equipment runs the color test.

| Variable | L* Values | a* Values | b* Values | L* Average | a* Average | b* Average | Haze | Haze Average |
|----------|--------------|--------------|--------------|---------------|---------------|---------------|-------|-----------------|
| Test 1 | 85.66 | -1.51 | 6.97 | 85.49 | -1.51 | 7.09 | 11.72 | 12.37 |
| | 85.41 | -1.54 | 7.32 | | | | 13.33 | |
| | 85.44 | -1.58 | 7.24 | | | | 12.19 | |
| | 85.73 | -1.49 | 6.88 | | | | 11.28 | |
| | 85.20 | -1.44 | 7.05 | | | | 13.32 | |
| Test 2 | 87.03 | -0.81 | 4.07 | 86.96 | -1.18 | 6.24 | 10.39 | 9.77 |
| | 87.04 | -1.23 | 6.54 | | | | 9.11 | |
| | 86.72 | -1.29 | 7.05 | | | | 9.98 | |
| | 87.15 | -1.23 | 6.38 | | | | 9.22 | |
| | 86.84 | -1.32 | 7.14 | | | | 10.15 | |
| Test 3 | 84.55 | -1.71 | 7.27 | 84.56 | -1.64 | 7.22 | 14.30 | 14.90 |
| | 84.48 | -1.62 | 6.85 | | | | 15.05 | |
| | 84.81 | -1.52 | 7.51 | | | | 13.50 | |
| | 84.36 | -1.63 | 7.20 | | | | 17.28 | |
| | 84.59 | -1.72 | 7.27 | | | | 14.38 | |
| Test 4 | 84.04 | -1.99 | 7.00 | 84.03 | -2.05 | 7.05 | 15.11 | 14.80 |
| | 84.13 | -2.07 | 7.22 | | | | 14.15 | |
| | 84.27 | -1.98 | 6.66 | | | | 14.00 | |
| | 83.94 | -2.16 | 7.24 | | | | 15.56 | |
| | 83.77 | -2.07 | 7.13 | | | | 15.17 | |
| Test 5 | 85.43 | -1.61 | 7.00 | 85.38 | -1.43 | 6.64 | 12.90 | 13.10 |
| | 85.07 | -1.35 | 6.86 | | | | 14.08 | |
| | 86.05 | -1.13 | 4.69 | | | | 11.49 | |
| | 85.50 | -1.57 | 6.97 | | | | 11.86 | |
| | 84.83 | -1.48 | 7.68 | | | | 15.16 | |

PFE ADDRESS

6B Continental Boulevard Merrimack, NH 03054

President:

Kristina Hansen (khansen@plasticsforming.com)

Technical Director:

Matthew Levesque (matt.levesque@plasticsforming.com)

Accounting Email:

(admin@plasticsforming.com)

Contact Phone Number:

603-668-7551



www.plasticsforming.com

